PTO/SB/17 (10-01)(modified)  
Approved for use through 09/29/01, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE0002/PTO(modified)  
Rev. 10/2000U.S. Department of Commerce  
Patent and Trademark Office

## FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) =

(\$ 486.00)

Complete if Known	
Application Number	10/003,029
Filing Date	October 23, 2001
First Named Inventor	Masoud Khansari et al.
Group Art Unit	2133
Examiner Name	UNASSIGNED
Attorney Docket Number	21676-06262 (CT-78)

## METHOD OF PAYMENT

## 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup>
- ☒ Applicant claims small entity status  
See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK &amp; WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:☒ Check ☐ Credit Card ☐ Other

## FEE CALCULATION (fees effective 10/01/2000)

## 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	370
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
SUBTOTAL (1)			(\$ 370)

## 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

## 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	65
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	
116/\$400	216/\$200	Extension for response within second month <sup>†</sup>	
117/\$920	217/\$460	Extension for response within third month <sup>†</sup>	
118/\$1,440	218/\$720	Extension for response within fourth month <sup>†</sup>	
128/\$1,960	228/\$980	Extension for response within fifth month <sup>†</sup>	
119/\$320	219/\$160	Notice of Appeal	
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application	
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)	
143/\$480	243/\$230	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$740	279/\$370	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$ 65)

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**			
TOTAL	21	minus*	20 or 0	=	1	x	9	= 9
INDEP	4	minus*	3 or 0	=	1	x	42	= 42
[ ] First presentation of multiple dependent claim								= 0

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 51)

## SUBMITTED BY

Typed or Printed Name		Complete (if applicable)	
Bill F. Malone		Reg. Number	42,833
Signature		Date	March 1, 2002

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

COPY OF PAPERS  
ORIGINALLY FILED

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

Application Number

10/003,029

Filing Date

October 23, 2001

First Named Inventor

Masoud Khansari et al.

Group Art Unit Number

2133

Examiner Name

UNASSIGNED

Total Number of Pages in This Submission

12

Attorney Docket Number

21676-06262 (CT-78)

## TRANSMITTAL FORM

(To be used for all correspondence during pendency of  
filed application)

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (in duplicate)

☒ Check Enclosed

☒ Return Receipt Postcard

☒ Response to Notice to File Missing Parts

☒ Copy of Notice to File Missing Parts

☒ Declaration

☒ Power of Attorney

☒ Application Data Sheet (title correction)

☐ Information Disclosure Statement & PTO-1449

☐ Copies of IDS Cited References

☐ Request for Corrected Filing Receipt

☐ Request for Correction of Recorded Assignment

☐ Amendment/Response: [ ] Page(s)

☐ After Final

☐ Status Request

☐ Revocation and Substitute Power of Attorney

☐ Issue Fee Transmittal

☐ Letter to Chief Draftsperson

☐ Formal Drawing(s):

[ ] Sheet(s) of Figure(s) [ ]

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Certified Copy of Priority Document(s)

☐ After Allowance Communication to Group

### REMARKS:

### SIGNATURE OF ATTORNEY OR AGENT

Signature:

Attorney/Reg. No.:

Neil F. Maloney, Reg. No. 42,833

Dated:

March

1, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:

Typed or Printed Name:

Neil F. Maloney

Dated:

March

1, 2002

Express Mail Mailing Number (optional):



#3

PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Masoud Khansari *et al.*  
APPLICATION NO.: 10/003,029  
FILING DATE: October 23, 2001  
TITLE: FORWARD ERROR CORRECTION BASED ON SONET/SDH FRAMING  
EXAMINER: UNASSIGNED  
GROUP ART UNIT: 2133  
ATTY. DKT. NO.: 21676-06262 (CT-78)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: March 1, 2002

By:

Neil F. Maloney, Reg. No. 42,833

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated February 20, 2002 received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ an original, signed Power of Attorney or Authorization of Agent;
- ☒ an Application Data Sheet (title correction);

☒ payment in the amount of \$486 for the

☒ application filing fee;

☒ fee for additional claims; and

☒ missing parts surcharge.

☐ Other

☒ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,

MASOUD KHANSARI *et al.*

Dated: March 1, 2002

By: 

Neil F. Maloney, Reg. No. 42,833

Attorney for Applicants

Fenwick & West LLP

Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (415) 875-2477

Fax: (415) 281-1350

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ORIGINALLY FILED

#3

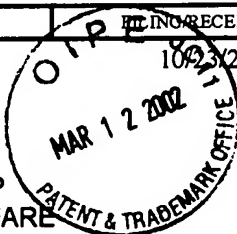


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,029	10/23/2001	Masoud Khansari	21676-06262

00758

FENWICK & WEST LLP  
TWO PALO ALTO SQUARE  
PALO ALTO, CA 94306

CONFIRMATION NO. 1205

## FORMALITIES LETTER



\*OC000000007502670\*

Date Mailed: 02/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$51.
  - \$9 for 1 total claims over 20.
  - \$42 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 486.

*A copy of this notice **MUST** be returned with the reply.*

K. Lee

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/15/2002 JADD01 00000032 10003029

01 FC:201	370.00	OP
02 FC:205	65.00	OP
03 FC:202	42.00	OP
04 FC:203	9.00	OP